



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

Info Application of: Allan F. Willis, et al.
Serial No.: 09/741,730
Filed: December 19, 2000
Confirmation No.: 5590
Title: Sealing Valve Assembly for Medical Products

Attorney Docket No.: KCX-332 (15927)
Date: January 22, 2002
Art Unit: 3763
Our Account No.: 04-1403

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

- a. ☒ A list of materials for consideration per Rule 98(a)(1): 2 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):
51 item(s)
- c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____
- ☐ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☒ WITHIN THREE MONTHS of the application filing date or national stage date of entry OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☐ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i. ☐ Certification per Rule 97(e); OR
- ii. ☐ Filing Fee per Rule 17(p) \$180.00
- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p) \$180.00

3. ☐ Rule 97(e) Certification; per Rule 97(c), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. ☐ That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. ☐ That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

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Date: January 22, 2002

(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number	Serial Number
	KCX-332 (15927)	09/741,730
	Applicant	
	Allan F. Willis, et al.	
	Filing Date: December 19, 2000	Group
	Confirmation No.: 5590	3763

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
 USSN _____, filed _____, or
 USSN _____, filed _____;
 Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER								ISSUE DATE	COPY NOTE
R6	Harrison, et al.	4	3	9	0	0	1	7		06/28/83	
	Harris, et al.	4	5	1	1	1	6	3		04/16/85	
	Andersen, et al.	4	5	9	4	0	7	4		06/10/86	
	Parks	4	6	6	6	4	3	3		05/19/87	
	Parks	4	6	8	5	9	0	1		08/11/87	
	Cameron, et al.	4	7	1	7	3	8	5		01/05/88	
	Parks	4	7	9	8	5	9	2		01/17/89	
	Campbell	4	7	9	9	9	2	3		01/24/89	
	Quinn, et al.	4	8	3	4	7	1	2		05/30/89	
	Haber, et al.	4	8	5	0	9	5	3		07/25/89	
	Gauderer, et al.	4	8	6	3	4	3	8		09/05/89	
	Russo	4	9	4	4	7	3	2		07/31/90	
	Hillyer	4	9	5	9	0	5	5		09/25/90	
	Clegg, et al.	5	0	5	7	0	9	3		10/15/91	
	Hirsch, et al.	5	0	8	0	6	5	0		01/14/92	
	Buma	5	0	9	2	8	5	0		03/03/92	
	Quinn, et al.	5	1	2	5	8	9	7		06/30/92	
	Parks, et al.	5	2	3	4	4	1	7		08/10/93	
	Parks, et al.	5	2	5	0	0	4	0		10/05/93	
	Hirsch, et al.	5	2	6	7	9	6	9		12/07/93	
	Oilschlager, et al.	5	2	6	7	9	8	3		12/07/93	
	Idowu	5	2	7	3	5	2	9		12/28/93	
	Bommarito	5	2	9	0	2	5	0		03/01/94	
	Potter	5	3	4	2	3	2	1		08/30/94	
	Kriesel, et al.	5	3	7	2	5	7	8		12/13/94	
	Parks, et al.	5	3	9	9	1	7	3		03/21/95	
	Noble	5	4	0	3	2	9	0		04/04/95	
	Michels, et al.	5	4	1	3	5	6	5		05/09/95	
	Andersen	5	4	5	1	2	1	2		09/19/95	
	Stern, et al.	5	5	4	9	6	5	7		08/27/96	
	Michels, et al.	5	5	5	4	1	4	0		09/10/96	
	Suzuki, et al.	5	5	5	5	8	9	8		09/17/96	
	Hasellhorst, et al.	5	5	6	9	2	2	2		10/29/96	
	Osborne, et al.	5	6	8	1	2	9	4		10/28/97	
	Gibbs, et al.	5	7	1	6	3	4	7		02/10/98	
	Russo	5	7	1	8	6	9	1		02/17/98	
	Copenhaver, et al.	5	7	2	0	7	3	4		02/24/98	
	Bourguignon, et al.	5	7	3	5	8	4	1		04/07/98	
	Larice	5	7	3	8	6	6	1		04/14/98	
	Osborne, et al.	5	7	7	2	2	5	5		06/30/98	

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	Applicant Allan F. Willis, et al.	
	Filing Date: December 19, 2000 Confirmation No.: 5590	Group 3763

RL	Haselhorst, et al.	5	7	7	6	1	1	7	07/07/98
	Erskine, et al.	5	8	2	0	6	1	4	10/13/98
	Kelliher, et al.	5	8	3	6	9	2	4	11/17/98
	Erskine, et al.	5	8	4	8	9	9	7	12/15/98
	Quinn	5	8	6	5	8	1	6	02/02/99
	Prichard	5	9	8	8	7	0	0	11/23/99
	Ross, et al.	6	0	1	5	4	0	0	01/18/00
	Picha, et al.	6	0	1	9	7	4	6	02/01/00
	Miyashiro	6	0	3	0	3	6	1	02/29/00
✓	Meier, et al.	6	0	4	5	5	3	6	04/04/00
	Quinn	6	0	6	6	1	1	2	05/23/00

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE
				YES	NO	N/A	

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
EXAMINER	Roz G. Johnson DATE CONSIDERED 5-24-02	
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